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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/818,193	03/26/2001	Gilyong Y. Chung	9842-254-999

CONFIRMATION NO. 3973

24341
Pennie & Edmonds, LLP
3300 Hillview Avenue
Palo Alto, CA 94304

FORMALITIES LETTER



OC00000006129917

Date Mailed: 05/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/04/2001 SSALEEKU 00000013 161150 09818193

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CERTIFICATE OF MAILING
37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date below:

9/19/01
Date

Kathy Llanes
Signature

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Gilyong Chung
Chin Che Tin
John R. Williams
Kyle McDonald
Massimiliano Di Ventra
Robert A. Weller
Sokrates T. Pantelides
Leonard C. Feldman

Group Art Unit: 2812

Examiner: Unknown

Atty. Dkt. No.: 2026.000900/RCF

Serial No.: 09/818,193

Filed: 03/26/2001

For: INCLUSION OF NITROGEN AT THE
SILICON DIOXIDE-SILICON CARBIDE
INTERFACE FOR PASSIVATION OF
INTERFACE DEFECTS

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RESPONSE TO 37 C.F.R. § 1.53(F) NOTICE

BOX MISSING PART

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

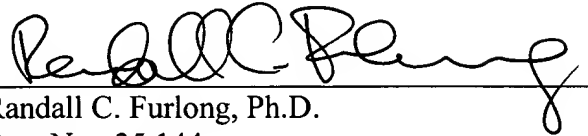
In response to the Notice to File Missing Parts of Application Under 37 C.F.R. § 1.53(f),
dated May 30, 2001, there are enclosed herewith:

- (a) The Declarations executed on behalf of Gilyong Chung, Chin Che Tin, John R. Williams, Kyle McDonald, Massimiliano Di Ventra, Robert A. Weller, Sokrates T. Pantelides and Leonard C. Feldman;
- (b) The Power of Attorneys on behalf of Gilyong Chung, Chin Che Tin, John R. Williams, Kyle McDonald, Massimiliano Di Ventra, Robert A. Weller, Sokrates T. Pantelides and Leonard C. Feldman;
- (c) The Declarations Claiming Small Entity Status executed on behalf of Vanderbilt University and Auburn University;
- (d) The Assignments and Assignment Cover Sheet on behalf of Gilyong Chung, Chin Che Tin, John R. Williams, Kyle McDonald, Massimiliano Di Ventra, Robert A. Weller, Sokrates T. Pantelides and Leonard C. Feldman;
- (e) The Change of Correspondence Address;
- (f) A copy of Notice to File Missing Parts of Application-Filing Date Granted.
- (g) A Request for Extension of Time of two-months to and including September 30, 2001. Should such request be absent, consider this such a request and authorization to withdraw the appropriate fee under 37 C.F.R. §§ 1.16 to 1.21 as stated below.

Should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, the Assistant Commissioner is hereby authorized to deduct said fees from or to Williams, Morgan & Amerson, P.C. Deposit Account No. 50-0786/2026.000900.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Randall C. Furlong", written over a horizontal line.

Randall C. Furlong, Ph.D.
Reg. No. 35,144

WILLIAMS, MORGAN & AMERSON
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(713) 934-4061

Attorney for Applicants

Date: September 19, 2001